# Spin structure and resonant driving of spin- $\frac{1}{2}$ defects in SiC 

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#### Abstract

Transition metal (TM) defects in silicon carbide have favorable spin coherence properties and are suitable as quantum memory for quantum communication. To characterize TM defects as quantum spin-photon interfaces, we model defects that have one active electron with spin $1 / 2$ in the atomic $D$ shell. The spin structure, as well as the magnetic and optical resonance properties of the active electron, emerge from the interplay of the crystal potential and spin-orbit coupling, and they are described by a general model derived using group theory. We find that the spin-orbit coupling leads to additional allowed transitions and a modification of the $g$-tensor. To describe the dependence of the Rabi frequency on the magnitude and direction of the static and driving fields, we derive an effective Hamiltonian. This theoretical description can also be instrumental in performing and optimizing spin control in TM defects.


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## I. INTRODUCTION

To implement quantum communication, it is necessary to transfer quantum information between stationary and mobile carriers [1]. Photons are by far the most adequate choice as the mobile carriers of quantum information. Fiber-optic cables spread over the globe make use of the efficient long-range transmission of light. The frequencies within the electromagnetic spectrum that are transmitted efficiently by optical fibers lie within the telecommunication bands. There is substantial interest in developing quantum systems that can emit photons within these frequency bands to harness the available infrastructure for quantum communication [2]. Transition metal (TM) defects in silicon carbide ( SiC ) are promising candidates as a platform in an industrially established material for emitters in such a frequency range [3-5]. Particularly encouraging are the observed long spin relaxation times $T_{1}$ for molybdenum defects exceeding seconds [5], and inhomogeneous dephasing times around $T_{2}^{*} \approx 0.3 \mu \mathrm{~s}$ [6].

The energy level structure of vanadium (V) and molybdenum (Mo) defects in SiC as well as their ground-state spin properties without sublevel structure are already well understood [3-10]. However, in order to fully understand the selection rules, the allowed and forbidden transitions, and the Rabi frequencies for arbitrary orientations of the static and oscillatory (electric or magnetic) fields, more details of the level structure are required. Inspired by studies of the nitrogen vacancy center in diamond [11] employing group theory [12-16] that shares the symmetry with the TM defects in SiC studied in this article, we also employ group-theoretical methods to derive a Hamiltonian for an active electron localized in a $d$ orbital of the TM defect ( V or Mo) that possesses $C_{3 v}$ symmetry imposed by the crystal field (Fig. 1). We analytically compute

[^0]the defect energy levels as given by the eigenvalues of this Hamiltonian in the absence of external fields.

In the presence of a nonzero static external (magnetic or electric) field, the symmetry of the system can be reduced, and therefore previously used naive group theoretical arguments, e.g., selection rules based on the symmetry, are no longer applicable. To overcome this obstacle, we use a SchriefferWolff transformation to derive an effective Hamiltonian that is compatible with ground-state Zeeman Hamiltonians derived previously [9] but has the benefit that it directly links the effective $g$-factors to the spin-orbit coupling. This procedure also shows that some matrix elements inside an orbital doublet that would not vanish in the most general case do vanish because the states originate from an atomic $d$ orbital.

For static magnetic fields along the high symmetry axis of the crystal, we derive selection rules within first- and secondorder perturbation theory in the spin-orbit interaction, making it possible to relate the magnitude of various transitions based on a small set of system properties. Furthermore, the effective Hamiltonian describes how a static magnetic field breaking the $C_{3 v}$ point symmetry mixes states of different irreducible representations (irreps) and thus changes the selection rules. To understand magnetic and optical resonance in TM defects in more detail, we study the dependence of the Rabi frequency on the magnetic field direction, and we provide examples where otherwise forbidden transitions are allowed for static magnetic fields that break the point symmetry.

The remainder of this paper is structured as follows: In Sec. II we introduce the Hamiltonian describing the system. In Sec. III we treat the model where we first show that the reduced problem can be solved analytically in the absence of an external field, and then (Sec. III A) we use the SchriefferWolff transformation to study the influence of external fields. In Sec. III B we combine these insights and present the selection rules as well as the Rabi frequencies for the various spin transitions in the TM defect. We conclude our work in Sec. IV.


FIG. 1. Lattice structure of SiC. (a) Schematic of one lattice site occupied by Si (purple) with the bonding C atoms (white). These nearest neighbors fulfill the symmetry $T_{d}$ of the regular tetrahedron. Typically, the substitutional TM atom occupies a Si site such as the one shown in purple. (b) The three possible layers $(A, B, C)$ are shown viewed from the top, where balls of one color denote lattice sites in the same layer. The SiC lattice can be built up by stacking tetrahedrally bonded Si-C bilayers, where each layer can only be followed by one of the other two types. (Quasi)hexagonal sites lie in a layer surrounded by layers of the same type (e.g., $B$ in $A B A$ ), while (quasi)cubic sites are surrounded by different layers (e.g., $B$ in $A B C$ ). SiC polytypes other than cubic $3 C$ - SiC break the $T_{d}$ symmetry.

## II. MODEL

We consider spin- $1 / 2$ defects in SiC where neutral $\mathrm{V}^{0}$ or positively charged $\mathrm{Mo}^{+}$substitute for a silicon atom [10], resulting in one active electron in a $d^{1}$ atomic orbital. These defects are sometimes referred to as $\mathrm{V}^{4+}$ and $\mathrm{Mo}^{5+}$ in the literature $[4,6,9]$. Our theory is based on the $d^{1}$ character of the electronic state and the $C_{3 v}$ point symmetry of the surrounding crystal. Since TM defects in SiC are not the only systems that fulfill these properties, the theory is also applicable to other systems, e.g., copper impurities in ZnO [17].

The full model Hamiltonian we consider takes the form [18]

$$
\begin{equation*}
H=H_{\mathrm{TM}}+V_{\mathrm{cr}}+H_{\mathrm{so}}+H_{\mathrm{hf}}+H_{z}+V_{\mathrm{el}} . \tag{1}
\end{equation*}
$$

The dominating part is the atomic Hamiltonian $H_{\mathrm{TM}}=$ $p^{2} /\left(2 m_{e}\right)+V_{\mathrm{TM}}$, where $\vec{p}$ denotes the momentum, $m_{e}$ is the mass of the active electron, and the coupling of the Coulomb potential of the TM atom to the electron charge $-e$ is given by $V_{\mathrm{TM}}$. This potential only depends on the distance $r=|\vec{r}|$ between the electron and the defect placed at the origin, localizes the active electron at the defect site, and separates the energy of the atomic $D$ shell from the remaining spectrum. The Coulomb potentials of the crystal atoms couple to the charge of the active electron, resulting in the crystal potential $V_{\text {cr }}$ that breaks the spherical symmetry of the defect atom and reduces it to the $C_{3 v}$ point group.

An electron in a $d$-orbital state has nonzero angular momentum $l=2$ inducing a magnetic field in the electron rest frame. This relativistic effect is taken into account in the spin-orbit coupling Hamiltonian [18,19]

$$
\begin{equation*}
H_{\mathrm{so}}=\frac{\hbar}{2 m_{e}^{2} c^{2}}\left\{\nabla\left[V_{\mathrm{TM}}+V_{\mathrm{cr}}\right] \times \vec{p}\right\} \cdot \vec{S}, \tag{2}
\end{equation*}
$$

with the electron spin vector operator $\vec{S}=\vec{\sigma} / 2$ in units of the reduced Planck constant $\hbar$ given by half the Pauli vector $\vec{\sigma}$, and the speed of light in vacuum $c$. Considering that the gradient of the Coulomb potentials $\nabla\left[V_{\mathrm{TM}}+V_{\text {cr }}\right]$ transforms like the vector $\vec{r}$, the complete orbital part transforms like the orbital angular momentum operator $\vec{L}=\vec{r} \times \vec{p} / \hbar$ (in units of $\hbar$ ) [18]. For the free ion, where $V_{\text {cr }}=0$, the intact spherical symmetry leads to $H_{\text {so }}=\lambda_{0} \vec{L} \cdot \vec{S}$, with the free ion coupling constant $\lambda_{0}=\mu_{0} Z \mu_{B}^{2} /\left(2 \pi r^{3}\right)$ expressed via the vacuum permeability $\mu_{0}$, the atomic number $Z$, and the Bohr magneton $\mu_{B}$ and depending on the electronic configuration via $1 / r^{3}$.

The hyperfine Hamiltonian $H_{\mathrm{hf}}$ models the interaction of the active electron spin with nearby nuclear spins [20]. For an electron localized in an atomic $d$ orbital at the defect site, we expect the interaction with the nuclear spin of the defect site to be the dominating contribution. The most common V isotope is ${ }^{51} \mathrm{~V}$ with an abundance larger than $99 \%$ and nuclear spin $7 / 2$, while Mo only has approximately $25 \%$ combined natural abundance for isotopes ${ }^{95} \mathrm{Mo}$ and ${ }^{97} \mathrm{Mo}$ with nuclear spin $5 / 2$, and the remaining naturally occurring isotopes have nuclear spin zero [21,22]. Measurement values for V show that the hyperfine splitting of the ground state is at least two orders of magnitude smaller than the spin-orbit splitting, but the difference can be much larger depending on the defect configuration [4]. Compared to V, Mo has the larger atomic number resulting in a larger spin-orbit coupling constant [23]. Furthermore, the isotopes with nonzero nuclear spin of Mo have a smaller $\left|\mu_{N} g_{N}\right|$ compared to the relevant isotope of V [24]. Therefore, we expect the ratio of the hyperfine and the spin-orbit coupling strength for V to give an upper bound for the two TM atoms.

The Zeeman Hamiltonian describes the coupling of the electron spin $\vec{S}$, electron angular momentum $\vec{L}$, and nuclear spin $\vec{I}$ to a uniform external magnetic field $\vec{B}$. This term is given by

$$
\begin{equation*}
H_{z}=\mu_{B}\left(g_{s} \vec{B} \cdot \vec{S}+\vec{B} \cdot \vec{L}\right)-\mu_{N} g_{N} \vec{B} \cdot \vec{I} \tag{3}
\end{equation*}
$$

Again using the experimental values of $g_{N} \mu_{N}$ for V [4] we find $g_{N} \mu_{N} / \mu_{B} \approx 10^{-4}$. From that we conclude that $H_{z}$ is dominated by the coupling of the magnetic field to the electron spin and angular momentum.

The active electron can also couple to external electric fields, resulting in the potential $V_{\mathrm{el}}$. Assuming that the electric field is uniform over the scale of the defect, the term is given by

$$
\begin{equation*}
V_{\mathrm{el}}=e \vec{E} \cdot \vec{r} \tag{4}
\end{equation*}
$$

where $\vec{E}$ is the external electric field.
Using these considerations, we order the magnitudes of the different contributions

$$
\begin{equation*}
H_{\mathrm{TM}} \gg V_{\mathrm{cr}} \gg H_{\mathrm{so}} \gg H_{\mathrm{hf}} \tag{5}
\end{equation*}
$$

In the following, we will concentrate on the case in which we can neglect the hyperfine interaction, given by static magnetic fields $|\vec{B}| \gg A_{z z} / \mu_{B} \sim 10 \mathrm{mT}$, where $A_{z z}$ is a hyperfine tensor component for a V defect [4]. For electric fields well below the breakdown field strength of $\operatorname{SiC}|\vec{E}| \ll 3 \mathrm{MV} / \mathrm{cm}$ [25] we estimate $H_{\text {so }} \gg V_{\mathrm{el}}$, and for magnetic fields smaller than
$B_{\mathrm{so}}=\lambda_{0} / \mu_{B} \approx 531 \mathrm{~T}$ we have $H_{\mathrm{so}} \gg H_{z}$. The approximate values are given for the free ion value of the spin-orbit coupling constant $\lambda_{0}$ for V [9] and therefore give the lower bound for the two TMs.

## A. Symmetry

SiC consists of two constituents, silicon ( Si ) and carbon (C). Each atom of the lattice is tetragonally bound to four atoms of the other constituent, giving rise to the tetragonal point symmetry $T_{d}$ of one crystal site with its nearest neighbors; see Fig. 1(a).

To take the remaining atoms into account, it is necessary to consider the crystal structure of SiC , which can be described in terms of polytypism [26]. In the following, we describe the crystal structure by the stacking order of tetrahedrally bonded Si-C bilayers, and we refer to the stacking axis as the crystal axis. Due to the tetrahedral bonding, there are only three inequivalent types of layers, distinguished by the positions of the Si atoms (lattice sites) in the stacking plane [Fig. 1(b)]. In particular, layers of the same type cannot be directly on top of each other due to the bonding of the atoms in the crystal structure, leaving only two possibilities to stack three layers.

Labeling the inequivalent layers $A, B$, and $C$, the first possible stacking has the form $A B C$, where sites in the $B$ layer have a zinc-blende bonding along the crystal axis and are called (quasi)cubic sites. The zinc-blende bonding is compatible with the $T_{d}$ symmetry of the nearest neighbors. The other possible stacking has the form $A B A$, where the bonding along the crystal axis is given by wurtzite bonding, and sites in the $B$ layer are called (quasi)hexagonal. Hexagonal layers reduce the symmetry of point defects from $T_{d}$ to $C_{3 v}$.

The various polytypes of SiC are combinations of hexagonal and cubic layers. All polytypes apart from cubic $3 C-\mathrm{SiC}$ have at least one hexagonal layer, and therefore defect sites in these crystals only fulfill $C_{3 v}$ symmetry, where the high symmetry axis coincides with the crystal axis. In addition to these symmetries, in the absence of a magnetic field, the system is also invariant under time inversion.

We now summarize the energy level structure that follows directly from group theory for a $d^{1}$-orbital state when the spherical symmetry is reduced to $T_{d}$ and then to $C_{3 v}$, including spin-orbit coupling [3-10,17]; see Fig. 2. A $d$ orbital corresponds to the $\Gamma_{l=2}$ irrep of the full rotation group. In the $T_{d}$ group, the $\Gamma_{l=2}$ representation is composed of the three-dimensional irrep $T_{1}$ and the twodimensional irrep $E$, hence the $d$ orbital is split into a doublet and a triplet in a $T_{d}$ symmetric potential. While the doublet does not split further in $C_{3 v}$, the triplet $T_{1}$ splits into another doublet $E$ and a singlet corresponding to the $\operatorname{irrep} A_{1}$.

The spin-orbit coupling renders the orbital singlet of $C_{3 v}$ into a Kramers doublet (KD), a degenerate pair of states that are connected by time inversion, transforming according to the spinor representation $\Gamma_{4}$. The doublets of $C_{3 v}$ split into two KDs corresponding to the irrep $\Gamma_{4}$ and the combined irreps $\Gamma_{5 / 6}=\Gamma_{5} \oplus \Gamma_{6}$, respectively. The same final structure arises when first considering the spin-orbit coupling and then the symmetry reduction to $C_{3 v}$. Time-reversal symmetry protects


FIG. 2. The energy levels of a $d^{1}$ defect in SiC. The spherical symmetry of the defect is reduced to the $T_{d}$ point symmetry by its nearest neighbors. This symmetry is further reduced by atoms farther away to $C_{3 v}$ in SiC polytypes apart from $3 C-\mathrm{SiC}$. The spin-orbit coupling splits the levels further, producing the fine structure given by five Kramers doublets. We show the spin-orbit splittings of the orbital doublets in blue, which to second order can be found in Eq. (33). If one considers the case in which the spin-orbit splitting is larger than the splitting due to the symmetry reduction to $C_{3 v}$, we obtain the same diagram but with $A_{1} \rightarrow \Gamma_{7}, E \rightarrow \Gamma_{8}$, which are irreps of the $T_{d}$ double group of dimensions 2 and 4 , respectively.
the states of the same KD from being coupled to each other by operators that also fulfill time-reversal symmetry [18], such as the position $\vec{r}$ and thus $V_{\mathrm{el}}$, as well as even orders of $\vec{L}, \vec{S}$, and $\vec{p}$ (combined).

## B. $C_{3 v}$ Symmetric Hamiltonian

To derive a general Hamiltonian for one active electron in the $d$-orbital subspace, we use the basis

$$
\begin{equation*}
|m\rangle|\sigma\rangle=|l=2, m\rangle|\sigma\rangle \tag{6}
\end{equation*}
$$

with the spherical harmonics $|l=2, m\rangle \quad(m=-2,-1$, $0,1,2)$ and the spinor $|\sigma\rangle(\sigma=\uparrow, \downarrow)$ for the $z$-axis parallel to the crystal axis. The full electronic wave function $\left|\Psi_{m}\right\rangle$ in addition contains the radial part according to the main quantum number $n=3(\mathrm{~V})$ or $n=4(\mathrm{Mo})$. Due to effects such as covalency and the Jahn-Teller effect, the states $\left|\Psi_{m}\right\rangle$ can have contributions from other orbital states [10,27,28]. These effects are taken into account by a proportionality constant that describes the ratio between the effective matrix element $\langle m| O\left|m^{\prime}\right\rangle$ and the full matrix element $\left\langle\Psi_{m}\right| O\left|\Psi_{m^{\prime}}\right\rangle$. A framework to derive operators that can take this into account while having the appropriate transformation properties is given by the Wigner-Eckart theorem where the proportionality constants correspond to so called reduced matrix elements [29].

The transformation properties of the basis states and operators as well as the implications of these for the Wigner-Eckart theorem are given in Appendixes A-D. The resulting Hamiltonian is described in the following and generalizes previously used Hamiltonians derived by ligand or crystal-field theory [9,17].

TABLE I. The $l$-factors introduced in the main text, corresponding to the nonzero matrix elements of the angular momentum operators $L_{k}$ in the crystal eigenbasis.

| $i$ | $l_{\perp, i 2}$ | $l_{\perp, i 3}$ | $l_{\\|, i 1}$ | $l_{\\|, i 2}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | $\frac{\sqrt{6}}{2} \cos (\phi)$ | $\frac{1}{2}[3 \cos (2 \phi)-1]$ | $-\frac{3}{2} \sin (2 \phi)$ |
| 2 | 0 | $-\frac{\sqrt{6}}{2} \sin (\phi)$ | 0 | $-\frac{1}{2}[3 \cos (2 \phi)+1]$ |

## 1. Crystal eigenstates

The purely orbital Hamiltonian $H_{o}=H_{\mathrm{TM}}+V_{\mathrm{cr}}$, which transforms according to the irrep $A_{1}$ of $C_{3 v}$ and is time-reversal symmetric, can be written as

$$
\begin{equation*}
H_{o}=\epsilon_{3}|0\rangle\langle 0|+\sum_{i=1,2} \epsilon_{i}\left(\left|+{ }_{i}\right\rangle\left\langle+_{i}\right|+\left|-{ }_{i}\right\rangle\left\langle-{ }_{i}\right|\right) \tag{7}
\end{equation*}
$$

inside the subspace of the $d$ orbitals. The orbital doublet states are given by

$$
\begin{align*}
& \left| \pm_{1}\right\rangle=\cos (\phi)| \pm 1\rangle \mp \sin (\phi)|\mp 2\rangle, \\
& \left| \pm_{2}\right\rangle=-\sin (\phi)| \pm 1\rangle \mp \cos (\phi)|\mp 2\rangle, \tag{8}
\end{align*}
$$

where the mixing angle $\phi$ describes the admixture of states that transform equally under $C_{3 v}$. The states $\left| \pm_{i}\right\rangle$ transform the same as $| \pm 1\rangle$ under the symmetry operations of $C_{3 v}$ and time inversion $T_{\text {inv }}\left| \pm_{i}\right\rangle=-\left|\mp_{i}\right\rangle$.

## 2. Spin-orbit coupling and Zeeman term

The spin-orbit (Zeeman) Hamiltonian transforms like the scalar product of the angular momentum operator with the spin operator $\vec{L} \cdot \vec{S}$ (magnetic field $\vec{L} \cdot \vec{B}$ ). This implies that the spin-orbit Hamiltonian is time-reversal symmetric, while the Zeeman Hamiltonian is not. Using projection operators on the eigenspaces of $H_{o}$,

$$
\begin{equation*}
P_{i}=\left|+_{i}\right\rangle\left\langle+{ }_{i}\right|+\left|-_{i}\right\rangle\left\langle-{ }_{i}\right|, \quad P_{3}=|0\rangle\langle 0| \tag{9}
\end{equation*}
$$

for $i=1,2$, we can write the spin-orbit Hamiltonian as

$$
\begin{equation*}
P_{i} H_{\mathrm{so}} P_{j}=\vec{S} \cdot \tilde{\Lambda}_{i j} \cdot P_{i} \vec{L} P_{j} \tag{10}
\end{equation*}
$$

where now $i=1,2,3 \quad$ and $\quad \tilde{\Lambda}_{i j}=\tilde{\Lambda}_{j i}=\operatorname{diag}\left(\tilde{\lambda}_{\perp, i j}\right.$, $\left.\tilde{\lambda}_{\perp, i j}, \tilde{\lambda}_{\|, i j}\right)$. Analogously, the Zeeman term is

$$
\begin{equation*}
P_{i} H_{z} P_{j}=\delta_{i j} \mu_{B} g_{s} \vec{B} \cdot \vec{S}+\mu_{B} \vec{B} \cdot \tilde{R}_{i j} \cdot P_{i} \vec{L} P_{j} \tag{11}
\end{equation*}
$$

with $\tilde{R}_{i j}=\tilde{R}_{j i}=\operatorname{diag}\left(\tilde{r}_{\perp, i j}, \tilde{r}_{\perp, i j}, \tilde{r}_{\|, i j}\right)$. The tensors $\tilde{R}$ and $\tilde{\Lambda}$ take the anisotropy of the reduction factors for the spinorbit coupling $\tilde{\lambda}_{k, i j} / \lambda_{0}$ and the orbital Zeeman term $\tilde{r}_{k, i j}$ into account.

In the employed basis [Eq. (8)], the nonzero matrix elements of the orbital angular momentum operators $L_{k}$ are given by $l_{\|, i j}= \pm\left\langle \pm_{i}\right| L_{z}\left| \pm_{j}\right\rangle, \quad l_{\perp, 12}= \pm\left\langle\mp_{2}\right| L_{x}\left| \pm_{1}\right\rangle=$ $i\left\langle\not \mp_{2}\right| L_{y}\left| \pm_{1}\right\rangle, \quad l_{\perp, i 3}=\left\langle \pm_{i}\right| L_{x}|0\rangle= \pm i\left\langle \pm_{i}\right| L_{y}|0\rangle$, with $i, j=$ 1, 2. Since $\vec{L}$ is Hermitian, $l_{k, i j}=l_{k, j i}$ and the unique matrix elements in terms of the orbital mixing angle $\phi$ are given in Table I. From now on we will use the combined parameters $\lambda_{k, i j}=l_{k, i j} \tilde{\lambda}_{k, i j}$ and $r_{k, i j}=l_{k, i j} \tilde{r}_{k, i j}$.

## 3. External electric field

The coupling to external electric fields has the form shown in Eq. (4) and is time-reversal symmetric for a static field. Due to the shared symmetry of $x, y$ and $-L_{y}, L_{x}$, we can use the orbital angular momentum operators to express

$$
\begin{align*}
P_{i} V_{\mathrm{el}} P_{j}= & (-1)^{\Theta(i-j)} i \tilde{\mathcal{E}}_{\perp, i j} P_{i}\left(E_{y} L_{x}-E_{x} L_{y}\right) P_{j} \\
& +\mathcal{E}_{\|, i j} E_{z} P_{i}\left(|0\rangle\langle 0|+\sum_{\sigma= \pm}\left|\sigma_{i}\right\rangle\left\langle\sigma_{j}\right|\right) P_{j} \tag{12}
\end{align*}
$$

between the orbital spaces or inside the orbital singlet (i.e., for $i, j=1,2,3$ with $i \neq j$ or $i=j=3)$. Here $\Theta(x)$ is the Heaviside function, which is 1 for positive $x$ and 0 otherwise. Due to the different properties under time inversion, the matrix elements of $L_{x}$ and $L_{y}$ within an orbital doublet vanish, while $x$ and $y$ can be nonzero, such that the coupling to electric fields inside the orbital doublets $(i=1,2)$ takes the form

$$
\begin{equation*}
P_{i} V_{\mathrm{el}} P_{i}=\mathcal{E}_{\|, i i} E_{z} \mathbb{I}+\mathcal{E}_{\perp, i i}\left(E_{x} \sigma_{x}-E_{y} \sigma_{y}\right) \tag{13}
\end{equation*}
$$

where $\mathbb{I}$ is the identity matrix, and $\sigma_{x}$ and $\sigma_{y}$ are the $x$ and $y$ Pauli matrices acting between $\left| \pm_{i}\right\rangle$. In analogy to the Zeeman and spin-orbit terms, we combine $\mathcal{E}_{\perp, i j}=\tilde{\mathcal{E}}_{\perp, i j} l_{\perp, i j}$ and the factors $\mathcal{E}_{k, i j}=\mathcal{E}_{k, j i}$ are symmetric. The expressions for the energies and Rabi frequencies in the following will only depend on the parameters $\epsilon_{i}, \lambda_{k, i j}, r_{k, i j}$, and $\mathcal{E}_{k, i j}$. Using $C_{3 v} \subset T_{d}$ and the angle $\phi$, it is possible to restrict parts of the Hamiltonian to intact $T_{d}$ symmetry; see Appendix E for more details.

## III. RESULTS

In the following, we will study how the spin-orbit coupling influences the defect spin system, and as such the interaction with external fields. Comparing Eqs. (12) and (11), we note that the orbital part of the Zeeman Hamiltonian $H_{z}$ has a form that is similar to the coupling to external electric fields $V_{\text {el }}$ between different orbital spaces. As the Zeeman term additionally includes pure spin transitions, we will concentrate on this term in the following, calculations for $V_{\text {el }}$ are analogous, and corresponding results will be summarized further below.

The Hamiltonian $H_{o}+H_{\text {so }}$ can be block-diagonalized into two Hermitian $2 \times 2$ blocks and two real and symmetric $3 \times 3$ blocks. The blocks of the same size are related to each other by time-reversal symmetry. This implies that there are at most five doubly degenerate eigenvalues, corresponding to the KDs. The eigenstates of the $2 \times 2(3 \times 3)$ blocks transform according to $\Gamma_{5 / 6}\left(\Gamma_{4}\right)$. The resulting eigenvalues are listed in Appendix G.

## A. Perturbation theory

While the aforementioned analytic solution holds for $C_{3 v}$ symmetric defects as well as when the $T_{d}$ symmetry is intact, for the perturbation theory we consider only $C_{3 v}$ symmetry. This still covers most defects, as well as the energies and states of the $T_{d}$ doublet $E$. Starting from the atomic energy levels, the largest contribution to the energy splitting is due to the crystal potential, and the spin-orbit coupling is small compared to the resulting level spacings, $\lambda_{k, i j} \ll \epsilon_{i}-\epsilon_{j}$ for $i \neq j$. Experiments and ab initio calculations [9,10] show that the reduction factor of the spin-orbit coupling $\lambda_{k, i j} / \lambda_{0}$ and the orbital
reduction of the Zeeman term $r_{k^{\prime}, i j}$ share the same order of magnitude, and hence $|\vec{B}| \ll B_{\text {so }}$ implies $\mu_{B} r_{k^{\prime}, i j}|\vec{B}| \ll \lambda_{k, i j}$. We use a Schrieffer-Wolff transformation [30] treating the off-diagonal elements of $H_{\text {so }}$ as the perturbation,

$$
\begin{equation*}
H_{0}=H_{o}+\sum_{i} P_{i} H_{\mathrm{so}} P_{i}, \quad V=\sum_{i \neq j} P_{i} H_{\mathrm{so}} P_{j} \tag{14}
\end{equation*}
$$

such that we find the effective Hamiltonian

$$
\begin{align*}
H_{\mathrm{eff}} & =\sum_{i} P_{i} e^{S} H e^{-S} P_{i} \\
& \approx \sum_{i} P_{i}\left(H_{0}+\frac{1}{2}[S, V]+H_{z}+\left[S, H_{z}\right]\right) P_{i} \tag{15}
\end{align*}
$$

for $\left[H_{0}, S\right]=V+O\left[\lambda_{k, i j} \lambda_{k^{\prime}, i j^{\prime}} /\left(\epsilon_{i}-\epsilon_{j}\right)\right]$ and we enforce the additional constraint for $P_{i}\left[S, H_{z}\right] P_{i}$ to be diagonal for $\vec{B} \| \vec{e}_{z}$.

These conditions ensure that $H_{\text {eff }}$ lies inside the projected spaces up to second order in the spin-orbit coupling, i.e., $\lambda_{k, i j}^{2} /\left(\epsilon_{i}-\epsilon_{j}\right)$, and it is diagonal for $\vec{B} \| \vec{e}_{z}$. Therefore, the diagonal elements correspond to the second-order eigenvalues for a magnetic field parallel to the crystal axis, given by

$$
\left.\left.\begin{array}{rl}
E_{i, \Gamma_{5 / 6}, \sigma}^{(2)} \\
E_{i, \Gamma_{4}, \sigma}^{(2)}
\end{array}\right\}=\epsilon_{i} \pm \frac{1}{2} \lambda_{\|, i i}+\frac{(-1)^{i} \lambda_{\| 12}^{2}}{4\left(\epsilon_{2}-\epsilon_{1}\right)}, \begin{array}{rl}
-\frac{(-1)^{i} \lambda_{\perp 12}^{2}}{2\left(\epsilon_{2}-\epsilon_{1}\right)}+\mu_{B} \frac{\sigma}{2} B_{z} g_{i, \Gamma_{5 / 6}, \|}, \\
-\frac{1}{2} \frac{\lambda_{\perp, i 3}^{2}}{\epsilon_{3}-\epsilon_{i}}+\mu_{B} \frac{\sigma}{2} B_{z} g_{i, \Gamma_{4}, \|},
\end{array}\right\} \begin{aligned}
& E_{3, \Gamma_{4}, \sigma}^{(2)}=\epsilon_{3}+\frac{\lambda_{\perp, 13}^{2}}{\epsilon_{3}-\epsilon_{1}}+\frac{\lambda_{\perp, 23}^{2}}{\epsilon_{3}-\epsilon_{2}}+\sigma \frac{g_{s}}{2} \mu_{B} B_{z} . \tag{17}
\end{aligned}
$$

The corresponding first-order eigenstates are given by the columns of $\mathbb{1}-S$,

$$
\begin{align*}
\left|i, \Gamma_{5 / 6}, \sigma\right\rangle^{(1)}= & \left|\sigma_{i}\right\rangle|\sigma\rangle+\sigma \frac{2 \lambda_{\perp, 12} r_{\|, 12}}{\left(\epsilon_{2}-\epsilon_{1}\right)\left(g_{s}+2 r_{\|, i i}\right)}\left|-\sigma_{i}\right\rangle|-\sigma\rangle \\
& -\frac{(-1)^{i} \lambda_{\|, 12}}{2\left(\epsilon_{2}-\epsilon_{1}\right)}\left|\sigma_{3-i}\right\rangle|\sigma\rangle-\frac{\sigma \lambda_{\perp, 12}}{\epsilon_{2}-\epsilon_{1}}\left|-\sigma_{3-i}\right\rangle|-\sigma\rangle \tag{18}
\end{align*}
$$

$$
\begin{align*}
\left|i, \Gamma_{4}, \sigma\right\rangle^{(1)}= & \left|-\sigma_{i}\right\rangle|\sigma\rangle-\frac{(-1)^{i} \lambda_{\|, 12}}{2\left(\epsilon_{2}-\epsilon_{1}\right)}\left|-\sigma_{3-i}\right\rangle|\sigma\rangle \\
& -\frac{\sigma \lambda_{\perp, i 3}}{\epsilon_{3}-\epsilon_{i}}|0\rangle|-\sigma\rangle \text { for } i=1,2, \text { and }  \tag{19}\\
\left|3, \Gamma_{4}, \sigma\right\rangle^{(1)}= & |0\rangle|\sigma\rangle+\frac{\sigma \lambda_{\perp, 13}}{\epsilon_{3}-\epsilon_{1}}\left|\sigma_{1}\right\rangle|-\sigma\rangle+\frac{\sigma \lambda_{\perp, 23}}{\epsilon_{3}-\epsilon_{2}}\left|\sigma_{2}\right\rangle|-\sigma\rangle, \tag{20}
\end{align*}
$$

with $i=1,2$, the parallel $g$-tensor components

$$
\left.\begin{array}{c}
g_{i, \Gamma_{5 / 6}, \|}  \tag{21}\\
g_{i, \Gamma_{4}, \|}
\end{array}\right\}=g_{s} \pm 2 r_{\|, i i}+(-1)^{i} 2 \frac{\lambda_{\|, 12} r_{\|, 12}}{\epsilon_{2}-\epsilon_{1}}
$$

and where we use $\sigma$ inside (outside) the spin ket to represent $\uparrow(+)$ and $\downarrow(-)$. The first terms on the right-hand side of the states Eqs. (18)-(20) are the reordered unperturbed states $\left|i, \Gamma_{\gamma}, \sigma\right\rangle^{(0)}$. Without loss of generality, due to the symmetry of the Hamiltonian, we choose the coordinate system such that $\vec{B}$ lies in the $x, z$-plane. Without an external magnetic field, these states approximate the zero-field analytic solutions
and share their most important features. At finite magnetic fields, Eqs. (15) and (18)-(20) provide a simpler description compared to an analytic solution.

For the approximate matrix elements of an operator $O$, we introduce the notation

$$
\begin{equation*}
\left\langle i, \Gamma_{\gamma}, \sigma\right| O\left|j, \Gamma_{\gamma}, \sigma\right\rangle_{\mathrm{eff}} \equiv{ }^{(0)}\left\langle i, \Gamma_{\gamma}, \sigma\right| O_{\mathrm{eff}}\left|j, \Gamma_{\gamma}, \sigma\right\rangle^{(0)}, \tag{22}
\end{equation*}
$$

where $O_{\text {eff }}=O+[O, S]$. Because the operators $O_{\text {eff }}$ are of combined second order in the matrix elements of $O$ and $H_{\text {so }}$ and in analogy to $H_{\text {eff }}$ being second order in the spin-orbit coupling, we will refer to them as the second-order matrix elements. Furthermore, we will refer to the elements of $O$ (between eigenstates of $H_{0}$ ) as first-order matrix elements.

As a static magnetic field along the crystal axis only breaks time-reversal symmetry but not the $C_{3 v}$ point symmetry, it can only mix states transforming according to the same irrep and lift the degeneracy of the KDs. For $B_{x} \neq 0$ the effective Hamiltonians of the orbital doublets and the singlet are not diagonal. The matrix elements inside the KDs are given by $\left\langle i, \Gamma_{k}, \sigma\right| H\left|i, \Gamma_{k}, \sigma\right\rangle_{\mathrm{eff}}=E_{i, \Gamma_{k}, \sigma}^{(2)}$ and

$$
\begin{equation*}
\left\langle i, \Gamma_{4}, \sigma\right| H\left|i, \Gamma_{4},-\sigma\right\rangle_{\mathrm{eff}}=\mu_{B} g_{i, \perp} B_{x} / 2 \tag{23}
\end{equation*}
$$

where we define the perpendicular $g$-factors

$$
g_{i, \perp}=\left\{\begin{array}{l}
g_{s}+\frac{4 \lambda_{\perp, 13} r_{\perp, 13}}{\epsilon_{3}-\epsilon_{1}}+\frac{4 \lambda_{\perp, 22} r_{\perp 23}}{\epsilon_{3}-\epsilon_{2}} \text { for } i=3  \tag{24}\\
-\frac{4 \lambda_{\perp, i 3} r_{\perp, i 3}}{\epsilon_{3}-\epsilon_{i}} \text { for } i=1,2
\end{array}\right.
$$

We see that only the singlet KD has a nonsuppressed effective perpendicular $g$-factor. Agreeing with pure group theoretical considerations, we find that the perpendicular $g$-factors for the $\Gamma_{5 / 6} \mathrm{KDs}$ are zero.

The matrix elements mixing the KDs inside an orbital doublet are given by

$$
\begin{align*}
& \left\langle i, \Gamma_{5 / 6}, \sigma\right| H\left|i, \Gamma_{4}, \sigma\right\rangle_{\mathrm{eff}}=\sigma \mu_{B} g_{i, c} B_{x} / 2,  \tag{25}\\
& \left\langle i, \Gamma_{5 / 6}, \sigma\right| H\left|i, \Gamma_{4},-\sigma\right\rangle_{\mathrm{eff}}=\mu_{B} g_{i, f} B_{x} / 2, \tag{26}
\end{align*}
$$

with the spin-conserving $(c)$ and flipping $(f)$ mixing $g$-factors

$$
\begin{gather*}
g_{i, c}=\frac{2 \lambda_{\|, 12} r_{\perp, 12}}{\epsilon_{2}-\epsilon_{1}}+\frac{\lambda_{\perp, 12} r_{\|, 12}}{\epsilon_{2}-\epsilon_{1}} \frac{2 g_{s}}{g_{s}+2 r_{\|, i i}}  \tag{27}\\
g_{i, f}=g_{s}+(-1)^{i} 2\left(\frac{\lambda_{\perp, 12} r_{\perp, 12}}{\epsilon_{2}-\epsilon_{1}}+\frac{\lambda_{\perp, i 3} r_{\perp, i 3}}{\epsilon_{3}-\epsilon_{i}}\right) \tag{28}
\end{gather*}
$$

The only off-diagonal $g$-factors that do not vanish without spin-orbit coupling are $g_{i, f}$ with $i=1,2$ and $g_{4, \perp}$. The $g$-factors as expressed above and evaluated for V in the $\alpha$ configuration of $6 \mathrm{H}-\mathrm{SiC}$ are within the margins of error for previously experimentally determined values [9] when using the provided reduction factors according to parameters used in [9] (see Appendix F). The maximal deviation for values that were assumed to be $0\left(g_{i, \perp}\right.$ and $g_{i, c}$ for $\left.i=1,2\right)$ is approximately 0.08 . Previous derivations of the $g$-factors (see Appendix A of Ref. [9]) for a pair of $\Gamma_{4}$ and $\Gamma_{5 / 6}$ states do not take the interaction with the remaining levels into account. The effective Hamiltonian (15) connects the previous Hamiltonians to the Hamiltonian for the whole $d$ orbital.

For intact $T_{d}$ symmetry, the approximation still holds for $i=1$ despite the restrictions of the parameters (Appendix E),


FIG. 3. Comparison of numerically calculated level structure (faded solid) to the approximate levels calculated perturbatively in Eq. (34) (dashed) for V in the $\alpha$-configuration of 6 H - SiC according to parameters used in [9] (see Appendix F). In (a) [(b)] the dependence for a magnetic field purely in the $z[x]$-direction is shown. The dependence on the direction for a fixed magnetic field strength is depicted in (c). We choose the energy scale such that the ground-state spin-orbit energy $E_{1,+, \downarrow}=0$ in the absence of a magnetic field $(\vec{B}=0)$. We observe a predominantly linear dependence of the energies on the $z$-component of the field as well as the higher-order correction due to the $x$-component.
in particular $\epsilon_{2}=\epsilon_{3}$. We find that the four pseudospin levels not only have the same zero-field energy (see Fig. 2) but share $g_{1, \Gamma_{5 / 6}, \|}=g_{1, \Gamma_{4}, \|}$. This implies that for pure $T_{d}$ symmetry, the effective spin levels have a nonsuppressed perpendicular $g$-factor and are up to second-order doubly degenerate. This underlines that the spin-orbit splitting of the $i=1$ doublet and the vanishing perpendicular $g$-factor are due to the combination of $C_{3 v}$ symmetric crystal potential and the spin-orbit interaction.

The dominating effect of a static magnetic field in an arbitrary direction can be taken into account by diagonalizing the first-order terms, i.e., the terms proportional to $g_{s}$. This leads to the pseudospin states (PSSs),

$$
\begin{align*}
|i,+, \sigma\rangle & =\cos \theta_{i, \sigma}\left|i, \Gamma_{5 / 6}, \sigma\right\rangle+\sin \theta_{i, \sigma}\left|i, \Gamma_{4},-\sigma\right\rangle,  \tag{29}\\
|i,-, \sigma\rangle & =\sin \theta_{i,-\sigma}\left|i, \Gamma_{5 / 6},-\sigma\right\rangle-\cos \theta_{i,-\sigma}\left|i, \Gamma_{4}, \sigma\right\rangle  \tag{30}\\
|3, \sigma\rangle & =\cos \theta_{3}\left|3, \Gamma_{4}, \sigma\right\rangle_{z}+\sigma \sin \theta_{3}\left|2, \Gamma_{4},-\sigma\right\rangle, \tag{31}
\end{align*}
$$

with $i=1,2$, and mixing angles given by

$$
\begin{equation*}
\tan 2 \theta_{i, \sigma}=\frac{\mu_{B} g_{s} B_{x}}{\Delta_{i}+\mu_{B} g_{s} \sigma B_{z}}, \quad \tan 2 \theta_{3}=\frac{B_{x}}{B_{z}} \tag{32}
\end{equation*}
$$

in terms of the second-order spin-orbit energy splittings

$$
\begin{equation*}
\Delta_{i}=\lambda_{\|, i i}+\frac{\lambda_{\perp, i 3}^{2}}{\epsilon_{3}-\epsilon_{i}}+\frac{(-1)^{i} \lambda_{\perp 12}^{2}}{\epsilon_{2}-\epsilon_{1}} \tag{33}
\end{equation*}
$$

which is given by the energy difference $E_{i, \Gamma_{5 / 6}, \sigma}-E_{i, \Gamma_{4}, \sigma}$ for $\vec{B}=0$ and is indicated in Fig. 2. The PSSs are not related by time-reversal symmetry, and they can therefore be coupled by electric fields.

Neglecting [ $S, H_{z}$ ], i.e., terms proportional to $r_{k^{\prime}, i j^{\prime}} \lambda_{k, i j} /$ $\left(\epsilon_{i}-\epsilon_{j}\right)$, the diagonal entries in the basis consisting of the PSSs correspond to approximate eigenvalues

$$
\begin{align*}
& E_{i, \pm, \sigma}^{(2)}={ }^{(0)}\langle i, \pm, \sigma| H_{\mathrm{eff}}|i, \pm, \sigma\rangle^{(0)} \\
& =\epsilon_{i}-\frac{\lambda_{\| 12}^{2}+2 \lambda_{\perp 12}^{2}}{4\left(\epsilon_{2}-\epsilon_{1}\right)}-\frac{\lambda_{\perp, i 3}^{2}}{2 \epsilon_{3}-2 \epsilon_{1}} \pm \sigma B_{z} \mu_{B} r_{\|, i i} \pm \frac{\operatorname{sgn}\left(\Delta_{i}+\mu_{B} g_{s} \sigma B_{z}\right)}{2} \sqrt{\left[\Delta_{i}+\mu_{B} g_{s} \sigma B_{z}\right]^{2}+\left(\mu_{B} g_{s} B_{x}\right)^{2}},  \tag{34}\\
&  \tag{35}\\
& \quad E_{3, \sigma}^{(2)}={ }^{(0)}\langle 3, \sigma| H_{\mathrm{eff}}|3, \sigma\rangle^{(0)}=\epsilon_{3}+\frac{\lambda_{\perp, 13}^{2}}{\epsilon_{3}-\epsilon_{1}}+\frac{\lambda_{\perp, 23}^{2}}{\epsilon_{3}-\epsilon_{2}}+\sigma \frac{g_{s}}{2} \mu_{B} \sqrt{B_{z}^{2}+B_{x}^{2}},
\end{align*}
$$

as shown in Fig. 3. The states are labeled according to the crystal-field eigenspace $i$ and the spin-orbit splitting $\pm$ such that for $B_{x}=0, "+"$ ("-") coincides with the states transforming according to $\Gamma_{5 / 6}\left(\Gamma_{4}\right)$. These labels are independent of the level ordering, reflecting that the level ordering depends on the precise configuration of the defect, i.e., the free and $a$ priori unknown parameters of our model. The results in the
following do not depend on the level order. Lastly, $\sigma$ labels the effective spin.

The approximate eigenvalues $E_{i, \pm, \sigma}^{(2)}$ already yield good results for the energies for V in the $\alpha$-configuration of $6 \mathrm{H}-\mathrm{SiC}$ compared to the numerical model by Kaufmann et al. [9]; see Fig. 3. For a magnetic field in the $z$ direction, all the states split linearly [Fig. 3(a)]. For magnetic fields along the $x$-axis,


FIG. 4. Nonzero matrix elements of the driving Hamiltonian $H_{d}$ between KD states for $\vec{B}_{0} \| \vec{e}_{z}$ taking (a) only reordering of the states due to spin-orbit coupling ${ }^{(0)}\left\langle i, \Gamma_{\gamma}, \sigma\right| H_{d}\left|i, \Gamma_{\gamma^{\prime}}, \sigma^{\prime}\right\rangle^{(0)}$ (first order in $H_{d}$ ) and (b) the correction of the states due to the spin-orbit coupling $\left\langle i, \Gamma_{\gamma}, \sigma\right| H_{d}\left|i, \Gamma_{\gamma^{\prime}}, \sigma^{\prime}\right\rangle_{\text {eff }}$ (mixed second order in $H_{d}$ and $H_{\mathrm{so}}$ ) into account. In (c) the mixed second-order matrix elements inside the KDs are depicted with the splitting due to the static magnetic field along the crystal axis (green) that varies due to $B_{\mathrm{ac}, z}$. Dashed lines connect states of the same spin $\left|i, \Gamma_{\gamma}, \sigma\right\rangle \leftrightarrow\left|j, \Gamma_{\gamma^{\prime}}, \sigma\right\rangle$, dotted lines states of inverted spin $\left|i, \Gamma_{\gamma}, \sigma\right\rangle \leftrightarrow\left|j, \Gamma_{\gamma^{\prime}},-\sigma\right\rangle$, and solid lines show that both channels are possible. The color indicates which field component gives rise to the transition (see the legend), where $\perp$ corresponds to a field in the $x, y$-plane. The red line connecting inverted spin states of the different irreps of the same orbital doublet corresponds to a pure spin transition, therefore in the first order it can only be driven by a magnetic field. In contrast, the corresponding spin-conserving transition can be driven only by an electric field in the first order.
only the $i=3$ level splits linearly while the remaining PSSs stay degenerate in the first order. The KDs of one orbital doublet are pushed further apart with increasing magnetic field in the $x$-direction [Fig. 3(b)]. For a small fixed magnetic field strength, we see an approximate linear dependence of the splitting of the KD as a function of the $z$-projection of the magnetic field for the states of the orbital doublets, and for the singlet PSS the dependence is approximately constant [Fig. 3(c)].

If we consider $V_{\text {el }}$ instead of $H_{z}$, the biggest difference is that we do not have the pure spin matrix elements ( $\alpha g_{s}$ ) but instead we have an allowed spin-conserving coupling between the KDs in the same orbital doublet. Furthermore, the KDs stay degenerate because $V_{\text {el }}$ does not break the time-reversal symmetry for static electric fields. The $E_{z}$ field action inside the projected spaces is given by

$$
\left.\begin{array}{c}
\left\langle i, \Gamma_{5 / 6}, \sigma\right| V_{\mathrm{el}}\left|i, \Gamma_{5 / 6}, \sigma\right\rangle  \tag{36}\\
\left\langle i, \Gamma_{4}, \sigma\right| V_{\mathrm{el}}\left|i, \Gamma_{4}, \sigma\right\rangle
\end{array}\right\}=\left[\mathcal{E}_{\|, i i} \pm(-1)^{i} \frac{\mathcal{E}_{\|, 12} \lambda_{\|, 12}}{\epsilon_{2}-\epsilon_{1}}\right] E_{z}
$$

for $i=1,2$ and $\left\langle 3, \Gamma_{4}, \sigma\right| V_{\mathrm{el}}\left|3, \Gamma_{4}, \sigma\right\rangle=\mathcal{E}_{\|, 33} E_{z}$.

## B. Magnetic (and optical) resonance properties

As an electrical field cannot lift the degeneracy of a KD, we will consider the case in which we apply a static magnetic field $\vec{B}_{0}$ that splits the KD and a periodical (electric or magnetic field) driving, i.e., of the form $\vec{B}_{\text {ac }} \sin (\omega t)$ or $\vec{E}_{\mathrm{ac}} \sin (\omega t)$. The driving field gives rise to an additional part of the Hamiltonian $H_{d}=O \sin (\omega t)$, where $O$ denotes either $H_{z}$ with the driving magnetic field amplitude $\vec{B}=\vec{B}_{\text {ac }}$ or the electric driving term $V_{\text {el }}$ with $\vec{E}=\vec{E}_{\text {ac }}$. As before, we will discuss the magnetic field case in detail and summarize the main differences for the electric field.

For simplicity, we define

$$
\begin{equation*}
\left.\Omega_{\Psi \Psi^{\prime}}=\frac{1}{2 \hbar}\left|\langle\Psi| H_{d}\right| \Psi^{\prime}\right\rangle \mid . \tag{37}
\end{equation*}
$$

For $\Psi, \Psi^{\prime}$ eigenstates of $H_{o}+H_{\mathrm{so}}+\left.H_{z}\right|_{\vec{B}=\vec{B}_{0}}$ and $\Psi \neq \Psi^{\prime}$, $\Omega_{\Psi \Psi^{\prime}}$ is the resonant Rabi frequency. We approximate $\Omega_{\Psi \Psi^{\prime}} \approx$ $\left.\Omega_{\Psi \Psi^{\prime}}^{\text {eff }}=\left|\langle\Psi| H_{d}\right| \Psi^{\prime}\right\rangle_{\text {eff }} \mid / 2 \hbar$, using the notation of Eq. (22) but where $\Psi$ and $\Psi^{\prime}$ are eigenstates of $H_{\text {eff }}$, thereby taking state mixing due to field components perpendicular to the crystal axis into account.


FIG. 5. Rabi frequencies on resonance in second order (offdiagonal matrix elements) and energy level oscillation amplitudes (diagonal) $\Omega_{\Psi \Psi^{\prime}}^{\mathrm{eff}}| | B_{\text {ac }} \mid$ according to Eq. (37). Parameters are chosen for V in the $\alpha$-configuration of $6 \mathrm{H}-\mathrm{SiC}$ according to parameters used in [9] (see Appendix F) and a magnetic driving field. The static magnetic field $B_{0} \vec{e}_{z}$ is parallel to the crystal axis and the oscillatory magnetic field perpendicular (bottom left half) or parallel (top right half). The $x$ - and $y$-axes, respectively, label the states $\Psi$ and $\Psi^{\prime}$. The inset depicts the relative error in units of $10^{-3}$ according to Eq. (38) as a function of $B_{0}\left(x\right.$-axis) for $\vec{B}_{\text {ac }} \| \vec{e}_{x}$ (solid blue line) and $\vec{B}_{\text {ac }} \| \vec{e}_{z}$ (orange dotted line). We plot matrix elements that identically vanish in white.


FIG. 6. Resonant Rabi frequency $\Omega_{\mathrm{GS}} /\left|B_{\mathrm{ac}}\right|(\mathrm{MHz} / \mathrm{T})$ between the ground-state pseudospin states as a function of the static and ac magnetic field direction for parameters according to V in the $\alpha$-configuration of 6 H - SiC according to parameters used in [9] (see Appendix F ) and a static magnetic field strength $B_{0}=3 \mathrm{~T}$. In (a) the ac field lies in the $x, y$-plane and in (b) it lies in the $x, z$-plane. The yellow area in the bottom right of (b) corresponds to values $\geqslant 31 \mathrm{MHz} / \mathrm{T}$. The approximate Rabi frequency $\Omega_{\mathrm{GS}}^{\text {eff }}$ agrees quantitatively up to a systematic deviation of approximately $+15 \%$ apart from the regions where $\Omega_{\mathrm{GS}} /\left|B_{\mathrm{ac}}\right|<1 \mathrm{MHz} / \mathrm{T}$, where the absolute error is smaller than $0.2 \mathrm{MHz} / \mathrm{T}$ and for the static field direction between $1 / 4$ and $1 / 2$ as well as the ac-field mainly along the $y$-axis, where the error can reach 1.5 MHz for $\Omega_{\mathrm{GS}} /\left|B_{\text {ac }}\right|<2 \mathrm{MHz} / \mathrm{T}$.

## 1. Static magnetic field along the crystal axis

We consider a static field aligned with the crystal axis $\vec{B}_{0} \|$ $\vec{e}_{z}$; in this case, we can without loss of generality consider the oscillatory field to lie in the $x, z$-plane. Also, time-reversal symmetry is broken by $\vec{B}_{0}$ while the point symmetry of the defect stays intact, implying that the PSSs coincide with the KDs and the second order $\Omega^{\text {eff }}$ is independent of $\left|\vec{B}_{0}\right|$.

The matrix elements of $H_{d}$ for a static magnetic field along the $z$-axis depend only on the field component parallel or perpendicular to the crystal axis (but not both). The resulting structure within first order is sketched in Fig. 4(a). We start by only taking the first-order effect of the spin-orbit coupling into account, i.e., the reordering of the unperturbed states. This corresponds to evaluating the matrix elements ${ }^{(0)}\left\langle i, \Gamma_{\gamma}, \sigma\right| H_{d}\left|j, \Gamma_{\gamma^{\prime}}, \sigma^{\prime}\right\rangle^{(0)}$. The only nonzero matrix elements proportional to the (electric or magnetic) field parallel to the crystal axis are between states of the same irrep with the same spin $\left(\gamma=\gamma^{\prime}\right.$ and $\left.\sigma=\sigma^{\prime}\right)$. All other nonzero matrix elements are proportional to perpendicular field components. Inside the orbital doublets $(i=j)$, the elements connecting inverted spins ( $\sigma=-\sigma^{\prime}$ ) of different irreps $\left(\gamma \neq \gamma^{\prime}\right)$ are linked by perpendicular magnetic fields, while electric fields can drive the spin-conserving ( $\sigma=\sigma^{\prime}$ ) transition. Driving with a transverse field couples states with the same spin ( $\sigma=\sigma^{\prime}$ ) of different irreps $\left(\gamma \neq \gamma^{\prime}\right)$ from different orbital doublets $(i \neq j)$ as well as states with the same spin between the singlet $\Gamma_{4}$ and all other irreps. Inside KDs, the only first-order nondiagonal matrix element is between the $\left|3, \Gamma_{4}, \sigma\right\rangle$ states and proportional to $B_{\mathrm{ac}, x}$; electric fields cannot drive transitions inside KDs.

The mixing of the states due to the spin-orbit coupling [see Eqs. (18) and (19) for the perturbative eigenstates] allows
additional transitions. The allowed transitions within secondorder perturbation theory are shown in Fig. 4(b). Summarizing the spin-orbit coupling mixes all the $\Gamma_{5 / 6}$ (spin) states with each other while $\Gamma_{4}$ states of the orbital doublets with the same spin are mixed with each other and with states of the singlet of inverted spin. This leads to all first-order allowed transitions between a basis state of the $\Gamma_{5 / 6}$ irrep being allowed conserving and flipping the spin in the second order. Additionally, to the first-order transitions, transitions between states that both transform like basis states of $\Gamma_{4}$ spin flipping transitions are allowed inside the KDs for $B_{\mathrm{ac}, x}$ and between the states of the orbital doublets for $B_{\mathrm{ac}, x}$ and $E_{\mathrm{ac}, x}$ in the second order. Between the singlet and the orbital doublet states transforming according to $\Gamma_{4}$, inverted spin states couple proportional to $B_{\mathrm{ac}, z}$ and $E_{\mathrm{ac}, z}$ in the second order. The structure given by the second order coincides with the structure for the analytic spin-orbit eigenstates. The matrix elements $\Omega_{\Psi \Psi^{\prime}}^{\text {eff }} /\left|B_{\text {ac }}\right|$ for V in the $\alpha$-configuration of 6 H -SiC are depicted in Fig. 5. The maximum relative error of the $\Omega_{\Psi \Psi^{\prime}}$ as a function of $B_{0} \vec{e}_{z}$ and $\overrightarrow{B_{\mathrm{ac}}}$ is given by

$$
\begin{equation*}
\max _{\Phi}\left(\left|\Omega_{\Phi \Phi}^{\mathrm{eff}}-\Omega_{\Phi \Phi}\right|\right) / \max _{\Phi}\left(\Omega_{\Phi \Phi}\right) \tag{38}
\end{equation*}
$$

where $\Phi$ is an arbitrary wave function. The error is smaller than $1 \%$ for arbitrary driving magnetic field strength for V in the $\alpha$-configuration of $6 \mathrm{H}-\mathrm{SiC}$ according to parameters used in [9] (see Appendix F) for static magnetic field strength $B_{0}<$ 100 T , as can be seen in the inset of Fig. 5.

Considering that at least for $V$ in the $\alpha$-configuration of 6 H -SiC the leading order is much larger than the following orders, it is hard to drive a transition that is suppressed in the leading order. For example, if the Zeeman splitting is much smaller than the crystal splitting, it is difficult to drive the
transition $\left|1, \Gamma_{5 / 6}, \downarrow\right\rangle \leftrightarrow\left|2, \Gamma_{4}, \uparrow\right\rangle$ because instead one would drive the transition $\leftrightarrow\left|2, \Gamma_{4}, \downarrow\right\rangle$ off-resonantly. This explains the missing measurement points in Figs. 6 and 7 in the paper by Kaufman et al. [9] along the $\alpha$-lines.

## 2. Static magnetic field in an arbitrary direction

For $B_{0, x} \neq 0$ the point symmetry and time-reversal symmetry are broken by the static magnetic field. This is already manifested in the first-order effect of a static magnetic field in the $x$-direction, the mixing of states of inverted spin and different irreps inside the orbital doublets; see Eqs. (29)-(31).

We first discuss the special case $B_{0, z}=0$ where the PSSs fulfill $E_{i, \pm, \sigma}^{(2)}=E_{i, \pm,-\sigma}^{(2)}(i=1,2)$; see Eq. (34). When considering the states (not the energies) this makes the small second-order contribution between the approximately degenerate PSSs very relevant, leading to states that diagonalize the coupling of the driving magnetic field in the $x$-direction inside the PSS doublet. Therefore, driving with an oscillatory magnetic field in the $x$-direction will be suppressed, while driving using a magnetic field in the $y$ - or $z$-direction is possible. This underlines that in the case of a static field not aligned with the crystal axis, the effective driving Hamiltonian can be anisotropic in all oscillatory field components. Furthermore, the mixing of states makes several transitions possible, e.g., the $|1,+, \downarrow\rangle \leftrightarrow|1,+, \uparrow\rangle$ transition for $B_{\mathrm{ac}, y}, E_{\mathrm{ac}, x}$, and $E_{\mathrm{ac}, y}$.

Now we consider a magnetic field along an arbitrary direction on the $x, z$-plane. As an example, we discuss the transition within the PSS doublet $|1,+, \sigma\rangle$, which constitutes the GS for some Mo and V defect configurations [3-7,9,10]. Group theoretical selection rules imply that the transition inside the KD cannot be driven for $\vec{B}_{0} \| \vec{e}_{z}$ [5]. However, due to the mixing of the KDs for $\vec{B}_{0} \nVdash \vec{e}_{z}$, the transition becomes possible.

In Fig. 6 we show the Rabi frequency $\Omega_{\mathrm{GS}}=\Omega_{|1,+, \downarrow\rangle,|1,+, \uparrow\rangle}$ as a function of the directions of the static and alternating magnetic fields. There is a maximum value for the transition for $B_{0, z} \neq 0$ along the line where $\vec{B}_{\text {ac }} \| \vec{e}_{x}$. Using a static magnetic field corresponding to this maximum ensures a splitting of the energies of the PSSs while at the same time making it possible to drive the transition with a magnetic field along the $x$-axis.

Analogously, the mixing of the states due to a static magnetic field perpendicular to the crystal axis can make other transitions (including between different PSSs of different crystal eigenspaces) possible for magnetic as well as electric driving fields. To understand the mixing quantitatively and to maximize the resonant Rabi frequency, one can use the effective Hamiltonian (15).

## IV. CONCLUSIONS

We have introduced a framework that describes how the interplay of the reduced symmetry of a defect implanted in a solid and spin-orbit coupling give rise to a nontrivial spin structure with the direct application to a spin- $1 / 2$ defect in SiC. We derived analytic energy levels in the absence of external magnetic fields, and we used perturbation theory to obtain effective Hamiltonians inside the crystal eigenspaces that are directly related to the Hamiltonian of the full orbital subspace.

This effective Hamiltonian directly links the anisotropy of the $g$-tensor of the KDs to the interplay of $C_{3 v}$ symmetry and the spin-orbit coupling, and it can be used to explain the expected magnetic and optical resonance properties of the system for static magnetic fields in an arbitrary direction. We were able to show how transitions that are forbidden for intact $C_{3 v}$ symmetry can be accessed by applying a static field perpendicular to the crystal axis. This does not conflict with previous selection rules, as external fields not aligned with the crystal axis break the $C_{3 v}$ point symmetry of the defect. We expect the employed theory to be useful to study allowed transitions for optical and microwave control, as well as relaxation and coherence times, and to optimize static fields to achieve desired forms of the driving Hamiltonian. Looking forward, the derived Schrieffer-Wolff transformation can be used to construct effective hyperfine Hamiltonians for the defect states originating from the atomic $d$-orbital.

To obtain more quantitative information on the properties of the defects at various crystal sites, it would be of great interest to compute complete sets of reduction factors for different defect configurations. Furthermore, reduction factors for $V_{\mathrm{el}}$ and $H_{\mathrm{hf}}$ would make it possible to study the effect of these using the employed framework in more detail and lead to more precise predictions.

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## APPENDIX A: SYMMETRY GROUPS OF THE DEFECT

Choosing the $z$-direction parallel to the crystal axis and using the vectors $\vec{a}_{i}$ to the nearest-neighboring atoms of the defect atom (see Fig. 1), the symmetry operations that leave the defect site invariant are the identity $E$, the rotations $R_{ \pm}$ around $\vec{e}_{z}$ by $\pm 2 \pi / 3$, and reflections $\sigma_{i}$ on planes spanned by $\vec{a}_{4}$ and $\vec{a}_{i}, i=1,2,3$. These symmetry operations are the elements of the $C_{3 v}$ point group [31]. This leads to the irreps of $C_{3 v}$ given by two one-dimensional irreps $A_{1}$ and $A_{2}$ and one two-dimensional irrep $E$ [32]. The double group $\overline{C_{3 v}}$ additionally has the two-dimensional spinor representation $\Gamma_{4}$ and the two one-dimensional irreducible representations $\Gamma_{5}$ and $\Gamma_{6}$.

If we only consider the nearest-neighboring atoms, we have the symmetries given above as well as reflections on the planes spanned by $\vec{a}_{i}$ and $\vec{a}_{j}$, rotations by $\pm 2 \pi / 3$ around $\vec{a}_{i}$, rotations by $2 \pi / 2$ around $\vec{a}_{i}+\vec{a}_{4}$, and improper rotations by $2 \pi / 4$ around $\vec{a}_{i}+\vec{a}_{4}$, where $i \neq j=1,2,3$. These operations are the elements of the $T_{d}$ point group [31]. $T_{d}$ has two one-dimensional, one two-dimensional, and two threedimensional irreps [32]. The double group $\overline{T_{d}}$ additionally has two two-dimensional and one four-dimensional irrep.

## APPENDIX B: TRANSFORMATIONS OF STATES AND OPERATORS

The representation of the rotation about an axis $\vec{n}$ with rotation angle $\alpha$ on angular momentum eigenstates is

TABLE II. Representation (matrices) of the symmetry operations of the $C_{3 v}$ group for its irreps. The representation for $E$ is given for the basis states $| \pm 1\rangle$, and we use $\epsilon=e^{-\mathrm{i} 2 \pi / 3}$.

| irrep | $E$ | $R_{+}$ | $R_{-}$ | $\sigma_{1}$ | $\sigma_{2}$ |
| :--- | :--- | :---: | :---: | :---: | :---: |
| $A_{1}$ | 1 | 1 | 1 | 1 | 1 |
| $A_{2}$ | 1 |  | 1 | $1^{1}$ | $\sigma_{3}$ |
| $E$ | $\mathbb{1}$ | $\left(\begin{array}{cc}\epsilon & 0 \\ 0 & \epsilon^{*}\end{array}\right)$ | $\left(\begin{array}{cc}{ }^{*} \epsilon & 0 \\ 0 & \epsilon\end{array}\right)$ | $-\left(\begin{array}{cc}0 & 1 \\ 1 & 0\end{array}\right)$ | $-\left(\begin{array}{cc}0 & \epsilon^{*} \\ \epsilon & 0\end{array}\right)$ |

## given by

$$
\begin{equation*}
R(\alpha, \vec{n})|l, m\rangle=e^{-i \alpha \vec{n} \cdot \vec{L}}|l, m\rangle \tag{B1}
\end{equation*}
$$

and inversion is given by

$$
\begin{equation*}
P|l, m\rangle=(-1)^{l}|l, m\rangle \tag{B2}
\end{equation*}
$$

With these considerations, we can calculate the representation matrices of the $C_{3 v}$ symmetry operators for the $|m\rangle=$ $|l=2, m\rangle$ [see Eq. (6)] angular momentum states (a basis for the reducible representation $\Gamma_{l=2}$ of $C_{3 v}$ ). The resulting representations for the irreps are shown in Table II.

We find $|0\rangle$ is a basis for irrep $A_{1}$. The operators $H_{o}, z$, and $p_{z}$ all transform according to the irrep $A_{1}$. The pairs of states $(|1\rangle,|-1\rangle)$ and $(-|-2\rangle,|2\rangle)$ are bases for the irrep $E$. The spherical components of the angular momentum operator ( $L_{+1}, L_{-1}$ ) transform according to the irrep $E$ in the same way as the basis $(|1\rangle,|-1\rangle)$, and they are given by $L_{ \pm 1}=$ $-i\left(L_{x} \pm i L_{y}\right) / \sqrt{2}=-i L_{ \pm} / \sqrt{2}$. The coordinate components $x, y$ also transform like $-L_{y}, L_{x}$ according to the irrep $E$. The $z$-component of the angular momentum operator $L_{z}$ transforms according to the irrep $A_{2}$. Representation matrices for $T_{d}$ symmetry operations can be calculated analogously, but in this case the spherical harmonics cannot be assigned directly to irreps.

## APPENDIX C: CLEBSCH-GORDAN COEFFICIENTS AND THE WIGNER-ECKART THEOREM

The Clebsch-Gordan coefficients link tensor-product states to basis states of an irrep [29]

$$
\left|\xi_{l}^{r, \alpha}\right\rangle=\sum_{j=1}^{d_{p}} \sum_{k=1}^{d_{q}}\left(\begin{array}{cc}
p & q \mid r  \tag{C1}\\
j & k
\end{array} \quad \alpha\right)\left|\phi_{j}^{p}\right\rangle \otimes\left|\psi_{k}^{q}\right\rangle,
$$

where the state $\left|\psi_{k}^{q}\right\rangle\left(\left|\phi_{j}^{p}\right\rangle,\left|\xi_{l}^{r, \alpha}\right\rangle\right)$ transforms according to row $k(j, l)$ of irrep $\Gamma^{q}\left(\Gamma^{p}, \Gamma^{r}\right)$. The irrep $\Gamma^{r}$ is contained $n_{p q}^{r}$ times in the product representation $\Gamma^{p} \otimes \Gamma^{q}$, and $\alpha$ runs from 1 to $n_{p q}^{r}$. Using the transformation matrices, we can also calculate the Clebsch-Gordan coefficients for $C_{3 v}$; see Table III. Equivalent coefficients for other bases of $E$ are found in [16].

To use the symmetry properties to derive the general form of the Hamiltonian, we use the Wigner-Eckart theorem [29]

$$
\left\langle\psi_{l}^{r}\right| Q_{k}^{q}\left|\phi_{j}^{p}\right\rangle=\sum_{\alpha=1}^{n_{p q}^{r}}\left(\begin{array}{ccc}
p & q \mid r & \alpha  \tag{C2}\\
j & k & l
\end{array}\right)^{*}\left\langle r \| Q^{q}\right||p\rangle_{\alpha}
$$

where $Q_{k}^{q}$ is an operator transforming like a $k$ basis vector of irrep $\Gamma^{q},\left\langle r\left\|Q^{q}\right\| p\right\rangle_{\alpha}$ are reduced matrix elements, and the Clebsch-Gordan coefficients are complex-conjugated. In
this article, we treat the reduced matrix elements as independent parameters that are given by experiments or ab initio calculations.

## APPENDIX D: DEFECT HAMILTONIAN AND CRYSTAL POTENTIAL

The TM defect and crystal potentials only act on the orbital part of the wave function and transform according to the irreducible representation $A_{1}$ of $C_{3 v}$. Furthermore, using timereversal symmetry as well as hermiticity of the Hamiltonian combining the defect atomic Hamiltonian with the crystal potential, we find

$$
\begin{align*}
H_{o}= & H_{\mathrm{TM}}+V_{\mathrm{cr}}=\left\langle A_{1}\left\|H_{o}\right\| A_{1}\right\rangle|0\rangle\langle 0| \\
& +\left\langle E\left\|H_{o}\right\| E\right\rangle_{3}(|-2\rangle\langle+1|-|2\rangle\langle-1|+\text { H.c. }) \\
& +\sum_{i=1,2}\left\langle E\left\|H_{o}\right\| E\right\rangle_{i}(|+i\rangle\langle+i|+|-i\rangle\langle-i|), \tag{D1}
\end{align*}
$$

where all reduced matrix elements correspond to real parameters. We introduce the definitions

$$
\begin{gather*}
\left\langle A_{1}\right|\left|H_{o} \| A_{1}\right\rangle=\epsilon_{3}  \tag{D2}\\
\left\langle E\left\|H_{o}\right\| E\right\rangle_{1}=\epsilon_{3}-2 \Delta_{c} / 3+K^{\prime} / 2,  \tag{D3}\\
\left\langle E\left\|H_{o}\right\| E\right\rangle_{2}=\epsilon_{3}-\Delta_{c} / 3+K^{\prime} / 2-K, \text { and }  \tag{D4}\\
\left\langle E\left\|H_{o}\right\| E\right\rangle_{3}=\sqrt{2} \Delta_{c} / 3, \tag{D5}
\end{gather*}
$$

where the reduced matrix elements are introduced in Eq. (C2). Here $\epsilon_{3}$ and $\Delta_{c}$ parametrize the part of the crystal Hamiltonian that fulfills the symmetry operations of $T_{d}$ and includes the off-diagonal elements in this basis. Nonzero $K$ and $K^{\prime}$ reduce the $T_{d}$ symmetry to $C_{3 v}$. In terms of these parameters, the

TABLE III. Clebsch-Gordan coefficients for $C_{3 v}$ symmetry. Here $\pm$ correspond to basis states of $E$ transforming like $| \pm 1\rangle$.

$$
\begin{aligned}
& \left(\begin{array}{cc|c}
\Gamma_{i} & A_{1} & \Gamma_{j} \\
k & 1 & m
\end{array}\right)=\left(\begin{array}{cc|c}
A_{1} & \Gamma_{i} & \Gamma_{j} \\
1 & k & m
\end{array}\right)=\delta_{i j} \delta_{k m}\left(\begin{array}{cc|c}
E & E & A_{1} \\
\pm & \mp & 1
\end{array}\right)=\frac{1}{\sqrt{2}} \\
& \left(\begin{array}{cc|c}
A_{2} & A_{2} & A_{1} \\
1 & 1 & 1
\end{array}\right)=1 \quad\left(\begin{array}{cc|c}
E & E & A_{2} \\
\pm & \mp & 1
\end{array}\right)= \pm \frac{1}{\sqrt{2}} \\
& \left(\begin{array}{cc|c}
E & A_{2} & E \\
\pm & 1 & \pm
\end{array}\right)=\left(\begin{array}{cc|c}
A_{2} & E & E \\
1 & \pm & \pm
\end{array}\right)= \pm 1 \quad\left(\begin{array}{cc|c}
E & E & E \\
\pm & \pm & \mp
\end{array}\right)=\mp 1
\end{aligned}
$$

eigenvalues in Eq. (7) are

$$
\begin{align*}
\epsilon_{i}-\epsilon_{3}= & \frac{\Delta_{c}}{2}\left[\frac{(-1)^{i}}{3} \operatorname{sgn}\left(1-\frac{3 K}{\Delta_{c}}\right) \sqrt{8+\left(1-\frac{3 K}{\Delta_{c}}\right)^{2}}\right. \\
& \left.-1-\frac{K}{\Delta_{c}}+\frac{K^{\prime}}{\Delta_{c}}\right] \tag{D6}
\end{align*}
$$

and the mixing angle, as used in Eq. (8), is

$$
\begin{equation*}
\phi=\frac{1}{2} \arctan \left(\frac{2 \sqrt{2}}{1-3 K / \Delta_{c}}\right) \tag{D7}
\end{equation*}
$$

## APPENDIX E: BASIS CHANGE FROM $\boldsymbol{T}_{\boldsymbol{d}}$ to $\boldsymbol{C}_{\mathbf{3} \boldsymbol{v}}$

Considering that the nearest-neighboring atoms of the TM defect respect $T_{d}$ symmetry, it may be justified to only take the leading order of the symmetry reduction into account, e.g., by assuming that only $H_{o}$ reduces the $T_{d}$ to $C_{3 v}$ symmetry. With this assumption, one can use the fact that intact $T_{d}$ symmetry has fewer independent parameters compared to $C_{3 v}$. The reduction factors introduced in the main text are further restricted for intact $T_{d}$ symmetry, as they need to fulfill $p_{k, 11}=$ $0, p_{k, i 2}=p_{k, i 3}$, and $\tilde{p}_{k, i j}=\tilde{p}_{k^{\prime}, i j}$ independently of the direction $k$ for $p=\lambda, r, \mathcal{E}$. For the crystal potential parameters, this implies $\phi_{T_{d}}=\operatorname{atan}(2 \sqrt{2}) / 2=\operatorname{atan}(1 / \sqrt{2})$ and $\epsilon_{2}=\epsilon_{3}$.

The basis states of a crystal potential that leaves $T_{d}$ symmetry intact and one that reduces it to $C_{3 v}$ are related by

$$
\begin{gather*}
\left| \pm_{1}\right\rangle=\cos \left(\phi_{T_{d}}-\phi\right)\left|\widetilde{\Psi_{1}}\right\rangle-\sin \left(\phi_{T_{d}}-\phi\right)\left|\widetilde{\Psi_{2}}\right\rangle  \tag{E1}\\
\left| \pm_{2}\right\rangle=\sin \left(\phi_{T_{d}}-\phi\right)\left|\widetilde{\Psi_{1}}\right\rangle+\cos \left(\phi_{T_{d}}-\phi_{\mathrm{cr}}\right)\left|\widetilde{\Psi_{2}}\right\rangle  \tag{E2}\\
|0\rangle=|\widetilde{0}\rangle \tag{E3}
\end{gather*}
$$

where we denote the eigenstates for the $T_{d}$ symmetric crystal potential by $\left|\tilde{士}_{i}\right\rangle,|\tilde{0}\rangle$. Using this transformation, orbital operators transforming according to $T_{d}$ symmetry can be easily transformed to the $C_{3 v}$ basis using the mixing angle $\phi$.

## APPENDIX F: PARAMETERS FOR V IN THE $\alpha$-CONFIGURATION OF $6 \mathbf{H}$-SiC

The necessary parameters for the model for vanadium (V) in the $\alpha$-configuration of 6 H -SiC were taken from fits to experimental data by Kaufmann et al. [9]. While this has no implications for the employed theory, we mention that Kaufmann et al. assumed that the $\alpha$-configuration of $6 \mathrm{H}-\mathrm{SiC}$ corresponds to a defect in a quasihexagonal layer, while recent $a b$ initio calculations [10] suggest that it corresponds to a defect in one of the quasicubic layers. The parameters used in this article are

$$
\begin{gather*}
\epsilon_{3}=1018.47 \mathrm{meV}, \quad \Delta_{c}=973.15 \mathrm{meV}  \tag{F1}\\
K=93.36 \mathrm{meV}, \quad K^{\prime}=-24.30 \mathrm{meV} \tag{F2}
\end{gather*}
$$

Using Eq. (D6) and shifting the energy scale such that $\epsilon_{1}=0$ leads to the remaining parameters of Eqs. (7) and (8), $\phi \approx$ 0.662 and $\epsilon_{2} \approx 946.14 \mathrm{meV}$. The orbital operators in $H_{z}$ and $H_{\text {so }}$ can be calculated as explained in Appendix E from the $T_{d}$ symmetric factors

$$
\begin{gather*}
\tilde{r}_{12}=0.18, \quad \tilde{r}_{22}=0.75  \tag{F3}\\
\tilde{\lambda}_{12}=1.77 \mathrm{meV}, \quad \tilde{\lambda}_{22}=16.29 \mathrm{meV} \tag{F4}
\end{gather*}
$$

Furthermore, the free ion value of the spin-orbit coupling strength for V is given by $\lambda_{0}=30.75 \mathrm{meV}$ in the same paper. Finally, we use $g_{s}=2$.

## APPENDIX G: BLOCK DIAGONAL BASIS AND ANALYTIC SPIN-ORBIT STATES

The Hamiltonian $H_{o}+H_{\mathrm{so}}$ is block diagonal in the following basis. The first block is defined in the subspace spanned by the basis states

$$
\begin{equation*}
\frac{1}{\sqrt{2}}\left(i\left|+{ }_{1}\right\rangle|\uparrow\rangle+\left|-{ }_{1}\right\rangle|\downarrow\rangle\right), \frac{1}{\sqrt{2}}\left(i\left|+{ }_{2}\right\rangle|\uparrow\rangle+\left|-{ }_{2}\right\rangle|\downarrow\rangle\right), \tag{G1}
\end{equation*}
$$

which are related by time-inversion to the second blocks basis states

$$
\begin{equation*}
\frac{-1}{\sqrt{2}}\left(i\left|+{ }_{1}\right\rangle|\uparrow\rangle-\left|-{ }_{1}\right\rangle|\downarrow\rangle\right), \frac{-1}{\sqrt{2}}\left(i\left|+{ }_{2}\right\rangle|\uparrow\rangle-\left|-{ }_{2}\right\rangle|\downarrow\rangle\right) \tag{G2}
\end{equation*}
$$

Again, the third blocks basis states

$$
\begin{equation*}
\left|+{ }_{1}\right\rangle|\downarrow\rangle,|0\rangle|\uparrow\rangle,\left|+{ }_{2}\right\rangle|\downarrow\rangle \tag{G3}
\end{equation*}
$$

are related to the fourth blocks basis states

$$
\begin{equation*}
-i\left|-{ }_{1}\right\rangle|\uparrow\rangle,-i|0\rangle|\downarrow\rangle, \quad-i\left|-{ }_{2}\right\rangle|\uparrow\rangle \tag{G4}
\end{equation*}
$$

by time inversion. Because the maximal block size is $3 \times 3$ and the blocks of this size are real and symmetric, it is possible to diagonalize the matrices analytically. The eigenvalues are

$$
\begin{equation*}
E_{i, \Gamma_{5 / 6}}=\frac{1}{2}\left(\epsilon_{1}+\frac{\lambda_{\| 11}}{2}+\epsilon_{2}+\frac{\lambda_{\| 22}}{2}\right)+(-1)^{i} \frac{1}{2}\left(\epsilon_{2}+\frac{\lambda_{\| 22}}{2}-\epsilon_{1}-\frac{\lambda_{\| 11}}{2}\right) \sqrt{\frac{\lambda_{\| 12}^{2}+4 \lambda_{\perp 12}^{2}}{\left(\epsilon_{2}+\frac{\lambda_{\| 22}}{2}-\epsilon_{1}-\frac{\lambda_{\| 11}}{2}\right)^{2}}+1} \tag{G5}
\end{equation*}
$$

$$
\begin{align*}
E_{i, \Gamma_{4}}= & \frac{b}{3}+2 \sqrt{b^{2}-3 c} \cos \left[\frac{\Delta}{3}+\left(\delta_{i 1}-\delta_{i 2}\right) \frac{2 \pi}{3}\right] \\
& \times \text { with }\left\{\begin{array}{l}
b=\epsilon_{0}+\epsilon_{1}+\epsilon_{2}-\frac{\lambda_{\| 11}}{2}-\frac{\lambda_{\| 22}}{2}, \quad \cos (\Delta)=\frac{2 b^{3}-9 b c-27 d}{2 \sqrt{\left(b^{2}-3 c\right)^{3}}} \\
c=\epsilon_{0}\left(\epsilon_{1}+\epsilon_{2}-\frac{\lambda_{\| 11}}{2}-\frac{\lambda_{\| 122}}{2}\right)-\frac{\lambda_{\| 12}^{2}}{4}-\lambda_{\perp 01}^{2}-\lambda_{\perp 02}^{2}+\left(\epsilon_{1}-\frac{\lambda_{\| 11}}{2}\right)\left(\epsilon_{2}-\frac{\lambda_{\| 22}}{2}\right) \\
d=\epsilon_{0}\left[\frac{\lambda_{\| 12}^{2}}{4}-\left(\epsilon_{1}-\frac{\lambda_{\| 11}}{2}\right)\left(\epsilon_{2}-\frac{\lambda_{\| 22}}{2}\right)\right]+\lambda_{\| 12} \lambda_{\perp 01} \lambda_{\perp 02}+\lambda_{\perp 01}^{2}\left(\epsilon_{2}-\frac{\lambda_{\| 122}}{2}\right)+\lambda_{\perp 02}^{2}\left(\epsilon_{1}-\frac{\lambda_{\| 11}}{2}\right),
\end{array}\right. \tag{G6}
\end{align*}
$$

where the labels are compatible with the perturbative solution. Since the $3 \times 3$ blocks are real, the transformation can be expressed in terms of (three) Euler angles. The diagonalization of the $2 \times 2$ blocks can be expressed in terms of two angles.
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